



Wykeham-Hurford Sheppard & Son Ltd – Client Privacy Policy

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1. INTRODUCTION TO OUR PRIVACY POLICY

In this Privacy Policy the terms, 'we' or 'us' is Wykeham-Hurford Sheppard & Son Ltd.

Your privacy is important to us and we are committed to keeping your information secure and managing it in accordance with our legal responsibilities under applicable data protection laws. We are registered with the UK Information Commissioner's Office (ICO) as a data controller under registration number ZA118587.

Please read this Privacy Statement carefully as it contains important information to help you understand how and why we process any personal information that you give to us.

2. WHAT INFORMATION WE COLLECT

We process personal information which you give us:

- As a client to provide you with legal services.
- Whilst servicing your account in writing, by email or over the phone.
- If you request information or assistance from us.

3. WHAT PERSONAL INFORMATION WE PROCESS

This includes:

- Personal details such as your date of birth, address, National Insurance Number, telephone number, email address and on occasion your bank account details.
- Identity information includes documents such as your passport, driving licence, utility and Council Tax bills, national identity card or bank and mortgage statements.
- Bankruptcy checks, identity and Anti-Money Laundering checks. (We obtain this information from the Land Registry and fraud prevention agencies)

4. SPECIAL CATEGORIES OF PERSONAL DATA

Special categories of personal data include information about an individual's health and other categories of personal information which are closely protected.

We do not generally process such information unless you have voluntarily provided this to us, or it is relevant to the legal service you have asked us to provide you with. For example, in Family & Matrimonial matters or Probate and or Power of Attorney matters, or where you have advised us of an issue, such as your health, which could mean that you may be classed as a "vulnerable client" i.e. due to your personal circumstances or personal characteristics you are particularly vulnerable to financial detriment. We will process sensitive information where you have provided this information to us and have agreed that we can use this information to deliver legal services to you. Where possible we shall seek to minimize the collection and use of such special categories of personal data.



5. HOW WE USE YOUR INFORMATION

We use your information to:

- Provide legal services to you.
- Comply with legal obligations for the prevention of financial crime and money laundering.

We will process your information in order to meet our contractual obligations to you, where we have a legitimate interest to do so, and where we are permitted by law or to comply with applicable laws and regulation

| PURPOSE | LEGAL BASIS |
|---|---|
| Providing a service and internal processing | |
| To assess your needs and provide you with suitable services | <ul style="list-style-type: none"> • Contractual obligation to provide you with, or a proposal including a costs estimate • Where special categories of personal data are processed, these are necessary to assess your needs |
| To service and administer your matter including billing | <ul style="list-style-type: none"> • Legitimate interests to provide and manage the service |
| To verify the identity of our clients | <ul style="list-style-type: none"> • To comply with legal obligations to prevent money laundering |
| To provide you with any information on the services that you have requested | <ul style="list-style-type: none"> • To meet our contractual obligation to provide information on the services you have requested |
| Relationship Management | |
| To manage and develop our relationship with you | <ul style="list-style-type: none"> • Legitimate interest to service your matter and improve our service to you |
| Training and development | |
| For training purposes and to improve our service to you | <ul style="list-style-type: none"> • Legitimate interests to improve our services and develop our employees |
| Complying with Legal Obligations | |
| To prevent, investigate and prosecute crime, fraud and money laundering | <ul style="list-style-type: none"> • To comply with legal obligations for prevention of financial crime and money laundering |
| For auditing purposes | <ul style="list-style-type: none"> • To comply with our legitimate interest to conduct audits |
| If we are obliged to disclose information by reason of any law, regulation or court order | <ul style="list-style-type: none"> • To comply with legal obligations |
| Other | |
| To transfer information to any entity which may acquire rights in us | <ul style="list-style-type: none"> • Legitimate interests for commercial interests |
| For any other purpose to which you agree | <ul style="list-style-type: none"> • With your consent |



6. HOW WE RETAIN YOUR PERSONAL INFORMATION

We will retain your personal information in accordance with applicable laws. We will take reasonable steps to destroy or anonymize personal information we no longer need for the purposes we have set out above.

Our retention periods are:

| Type of personal Information | Retention Period |
|--|--|
| General personal data which includes your normal personal data, personal identity and personal financial data | <ul style="list-style-type: none">Up to 12 years after the end of our business relationship with you, or the end of your matter which ever comes later unless your instructions relate to Wills in which case the files and their contents are kept indefinitely |
| Client Due Diligence Material which includes copies of your Passport, Drivers Licence, Bank Statements and any associated documents and explanations you have given to us to prevent fraud, financial crime and money laundering | <ul style="list-style-type: none">Up to 12 years after the end of our business relationship with you, or the end of your matter which ever comes later |
| Special categories of personal data | <ul style="list-style-type: none">Up to 12 years after the end of our relationship with you |

7. HOW WE SHARE YOUR INFORMATION

Where necessary or required we share information with:

- Regulatory authorities to comply with our legal obligations.
- Fraud prevention agencies to check your identity in accordance with our legal obligations.
- Property Search Companies to identify any issues that might influence your decision to buy or sell a property.
- Insurers for the purpose of providing you with appropriate financial cover for an identified insurable risk, or in connection with any claim made by you against us.
- Property Agents, Brokers, Lenders, other Solicitors involved in your transaction representing other party(ies) in your matter to enable them and us to fulfil our obligations to you.
- Other Government Departments such as HMRC, Companies House, Probate Registry, Court of Protection or HM Land Registry to fulfil your and our legal obligations.
- Experts and Barristers required to work on your matter.
- Our Auditors and external assessment bodies to achieve and maintain any Regulatory or Quality Assurance Standards and accreditations which meet our legal obligations and enable us to provide quality legal services to you.

8. INFORMATION SECURITY

We invest appropriate resources to protect your personal information, from loss, misuse, unauthorized access, modification or disclosure. However, no internet-based site can be 100% secure and we cannot be held responsible for unauthorized or unintended access that is beyond our control.



9. UPDATES

We will keep this Privacy Policy under review and make updates from time to time. Any minor changes to this Privacy Statement will be posted on this page and we will communicate any major changes to you

10. YOUR RIGHTS

You have the right to request copies of your personal information. If you think any of the personal information we hold about you is inaccurate, you may also request it is corrected or erased. You also have a right, in certain circumstances, to object to our processing of your personal information, to require us to stop processing your personal information and/or to withdraw your agreement to processing based on 'consent', but this does not apply where we have other legal justifications to continue processing your data or an overriding legitimate interest.

In relation to all of these rights, please write to us at the address below

11. COMPLAINTS PROCESS

If you have a complaint about how we have handled your personal information you may contact us using the details below and we will investigate your complaint. You also have the right to complain to the Information Commissioner's Office - (www.ico.org.uk)

11. CONTACT US

Wykeham-Hurford Sheppard & Son Ltd

Sally Reeve: Data Officer
6 High Street
Battle
East Sussex TN33 0AE

Telephone queries can be made to 01424 775088.